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Please type a plus sign (+) inside this box [] Approved for use through 09/30/2000. OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/909,320 **Application Number** 3 2004 July 18, 2001 JUL TRANSMITTAL Filing Date **FORM** Avi Ashkenazi, et al. First Named Inventor (to be used for all correspondence after initial filing) 1647 Group/Art Unit Fozia M. HAMUD Examiner Name Total Number of Pages in This Submission 295 pgs. 39780-1618P2C80 Attorney Docket Number ENCLOSURES (check all that apply) **Assignment Papers** After Allowance Communication Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Drawing(s) Fee Attached of Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition Routing Slip (PTO/SB/69) Proprietary Information and Accompanying Petition Version with Markings Showing Petition to Convert to a Status Letter Changes Provisional Application Power of Attorney, Revocation Additional Enclosure(s) Affidavits/declaration(s) 冈 Change of Correspondence Address (please identify below): Extension of Time Request stamped return postcard; Terminal Disclaimer PTO Form 1449; Information Disclosure Statement copies of 7 cited reference **Small Entity Statement** Certified Copy of Priority Request for Refund Document(s) Response to Missing Parts/ Remarks Incomplete Application **AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES** Response to Missing DUE IN CONNECTION WITH THIS PAPER. Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY OR AGENT Firm Daphne Reddy, Reg. No. 53,507 HELLER EHRMAN WHITE & McAULIFFE LLP Individual name Signature Date Customer Number: 09157/35489 July 23, 2004 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this date: July 23, 2004. Typed or printed name Sandra Puljic Date July 23, 2004 Signature



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Avi Ashkenazi, et al.

Docket No.:

39780-1618P2C80

Serial No.:

09/909,320

Group Art Unit: 1647

Filing Date:

July 18, 2001

Examiner:

Fozia M. HAMUD

For:

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS

ENCODING THE SAME

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on an attached Form PTO-1449 is information known to applicant(s). Each reference listed has been cited in a related patent application, US Serial No. 09/903,925 (claiming PRO 343 antibody); Our Ref. No. 39780-1618P2C49 and/or US Serial No. 09/909,640 (claiming PRO 343 polypeptide); Our Ref. No. 39780-1618P2C48. A copy of each reference is provided herewith. Applicants submit these documents to ensure that all references are of record and considered in all related applications. Applicants respectfully request the Examiner to acknowledge consideration of the currently submitted references by initialing and returning form PTO-1449 in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

07/26/2004 EABUBAK1 00000095 081641 09909320

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	This sta	This statement qualifies under $37 C.F.R. \S 1.97$ , subsection (b) because (check all that apply):								
		(1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  OR								
		(2)	It is being filed within 3 month	is of entry of a national stage						
		(3)		date of the first Office Action on the merits						
		(4)		ling of a first Office Action after the filing of a tion under § 1.114.						
	37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:									
		a certification as specified in §1.97(e) is provided below; or								
		a fee of \$180.00 as set forth in \$1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.								
	37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:									
	A.	a certification as specified in §1.97(e) is completed below; and								
	B.	a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and								
·	C.	a fee of \$180.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.								
$\boxtimes$	Fee Authorization. The Commissioner is hereby authorized to charge the above-referenced fees of \$180.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. <u>08-1641 (Docket No. 39780-1618P2C80)</u> .									
				Respectfully submitted,						
Dated:	July 23	, 2004		By: Saphne Reddy Daphne Reddy						
				Daphne Reddy Reg. No. 53,507						

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JUL 2 3 2004

## INFORMATION DISCLOSURE STATEMENT

39780-1618P2C80

ATTY. DOCKET NO.:

**SERIAL NO.:** 

ATEMENT 39780-1618P

09/909,320

PTO-1449

APPLICANT: Avi Ashkenazi, et al.

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			FILING DATE: July 1	GROUP: 1647					
		U.	S. PATENT DOCUMEN	TS					
EXAMINER'S INITIALS_	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE			
	5,821,337	10/13/1998	Carter, P., et al.	530	387.3				
		FOF	REIGN PATENT DOCUME	ENTS					
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION			
	WO 98/36054	8/20/1998	PCT	C12N	9/12	YES	NO		
					3,12		$\Box$		
	Galperin, Michael Y., et al, "Who's your neighbor?, New Computational approaches for functional genomics", Nature Biotechnology, Vol. 18, 2000, pp 609-613  Haynes, Paul A., et al., "Proteome analysis: Biological assay or data archive?", Electrophoresis, 19, 1998, pp 1862-1871  Konopka, James B., et al., "Variable expression of the translocated c-abl oncogene in Philadelphia-chromosome-positive B-lymphoid cell lines from chronic myelogenous leukemia patients", Proc. Natl. Acad. Sci. USA, Vol 83, 1986, pp 4049-4052								
EXAMINER			DATE CONSIDERED						